



Republic of the Philippines  
**Department of Education**

SEP 12 2012

DepEd ORDER  
No. **75**, s. 2012

**GUIDELINES ON THE UTILIZATION OF THE REGIONAL IMPLEMENTATION  
SUPPORT AND ENHANCEMENT (RISE) FUNDS**

To: Undersecretaries  
Assistant Secretaries  
Bureau Directors  
Directors of Services, Centers and Heads of Units  
Regional Directors  
All Others Concerned

1. Under the Basic Education Sector Reform Agenda (BESRA), the Department of Education (DepEd) has formulated the Quality Assurance and Accountability Framework (QAAF) to serve as a "road map" in instilling quality and accountability in the respective operations within the basic education sector.
2. To systematically put into action the logic and principles of the QAAF, the DepEd has established the Quality Management System (QMS) formulated to systematize and standardize DepEd core processes required to achieve the objective of the framework for basic education. The QMS has been previously modeled in eight (8) regions (I, V, VI, VII, VIII, X, XI and ARMM).
3. The Regional Implementation Support and Enhancement (RISE) Funds has been set up to support the modeling of QMS in all regions. The utilization of RISE Funds shall be aligned pursuant to the new QAA/QMS implementation strategy.
4. To effectively utilize the funds, this Department has formulated the enclosed guidelines outlining the procedures and implementation strategies of the RISE Funds and to ensure effective and transparent utilization of the said Fund.
5. For more information and clarification, concerned parties may contact **Mr. Roger B. Masapol**, Chief, Project Development and Evaluation Division-Office of the Planning Service (PDED-OPS), DepEd Central Office, Meralco Avenue, Pasig City at telephone no.: (02) 635-3983.
6. Immediate dissemination of and strict compliance with this Order is directed.

  
**BR. ARMIN A. LUISTRO FSC**  
Secretary

Encls.: As stated

Reference: N o n e

To be indicated in the Perpetual Index under the following subjects:

FUNDS

POLICY

PROGRAMS

SMA/DO Guidelines on the Utilization of the RISE Funds  
1572/September 10, 2012

## **GUIDELINES ON THE UTILIZATION OF THE REGIONAL IMPLEMENTATION SUPPORT AND ENHANCEMENT (RISE) FUNDS**

### **A. RATIONALE**

1. One of the Key Reform Thrusts (KRT) under the Basic Education Sector Reform Agenda (BESRA) is the Quality Assurance and Accountability and Monitoring and Evaluation (QAA/M&E) which is aimed primarily to set quality consciousness within the basic education sector.
2. Through the BESRA KRT4, the Quality Assurance and Accountability Framework (QAAF) had been formulated. The QAAF serves as a “road map” that will instill quality and accountability in the respective operations in the education sector. It seeks to bind key players and stakeholders involved in the system into one integrated entity whose main objective is to ensure consistent provision of quality basic education services to every Filipino learner anytime, anywhere.
3. The establishment of the Quality Management System (QMS) will systematically put into action the logic and principles of the QAAF. The QMS will systematize and standardize DepED core processes required to achieve the objective of the framework for basic education. It is a system that will rationalize the operation of each unit based on mandates and map out the link and points of convergent of each unit in achieving the desired outcomes.
4. QMS will be operationalized by three interrelated sub-systems which define standards on planning, core business processes and monitoring & evaluation which complete the entire cycle of strategic planning, program implementation down to monitoring and evaluation which will influence the planning and budgeting cycle. These three sub-systems are:
  - 4.1 **Quality Planning**, ensuring the quality (relevance & responsiveness), consistency and continuity of strategies, programs and projects;
  - 4.2 **Quality Assurance**, a mechanism for continuous improvement - review and enhancement of standards and fine tuning of processes; and
  - 4.3 **Quality Control**, ensuring efficiency of implementation (as per plans) and assurance of results (as per standards).
5. One goal of the BESRA KRT4 is to establish the QMS in all regions and divisions nationwide.
6. The Regional Implementation Support and Enhancement (RISE) Fund has been set up to espouse the modeling of QMS in all regions.

### **B. PURPOSE AND COVERAGE**

7. The RISE Fund is a Php 50 Million funding facility extended to all Regional Offices to enable regions to perform their mandated functions as provided in RA 9155 particularly on quality planning, quality assurance and quality control.
8. The overall objective of the fund is to channel funds to regions which will allow them to provide technical assistance to Divisions in terms of putting into practice the principles of QMS.

9. Specifically, RISE Funds shall be used by the regions to: i) set up the Region and Division Planning and Monitoring & Evaluation (M&E) System; ii) provide capacity building program to divisions related to strategic planning, monitoring & evaluation, and performance reporting; and iii) mobilize Region and Division M&E teams.
10. RISE Funds shall be released to and managed by the region.
11. A National Program Support Funds in the amount of Php 8 Million shall be retained at the Central Office which will be utilized primarily on the conduct of the National Orientation and for monitoring the implementation of the RISE Funds. This amount will enable the National Quality Management Team (NQMT) to perform their functions as reflected in DepED Order No. 43 s, 2010.
12. The QAA/M&E TWG shall prepare a detailed work plan on the use of the abovementioned funds to be submitted to and approved by the QAA/M&E TWG Chair.

**C. AMOUNT OF GRANT AND ELIGIBLE ACTIVITIES**

**Summary of the RISE Funds Allocation**

<b>REGION</b>	<b>NUMBER OF DIVISIONS</b>	<b>ALLOCATION (in Php)</b>
Region I	13	2,650,485.44
Region II	8	1,631,067.96
Region III	18	3,669,902.91
Region IV-A	15	3,058,252.43
Region IV-B	7	1,427,184.47
Region V	13	2,650,485.44
Region VI	18	3,669,902.91
Region VII	19	3,873,786.41
Region VIII	10	2,038,834.95
Region IX	8	1,631,067.96
Region X	14	2,854,368.93
Region XI	10	2,038,834.95
Region XII	9	1,834,951.46
CAR	7	1,427,184.47
CARAGA	9	1,834,951.46
ARMM	12	2,446,601.94
NCR	16	3,262,135.92
National Program Support Fund		8,000,000.00
<b>TOTAL</b>		<b>50,000,000.00</b>

13. The region shall ensure equity in the utilization of the funds.
14. Eligible activities and expenses under the RISE Funds shall be related to:
  - 14.1 Setting up of the Region and Division Planning and M&E System and formation of the Region and Division M&E Teams (not to exceed 30% of the total fund allocation per region)
    - 14.1.1 Workshops and meetings within the region

- 14.1.2 Office supplies and materials
- 14.2 Capacity building program for Divisions on Planning and Monitoring & Evaluation. This activity shall be consistent with the standards set on the provision of capacity building programs to regions provided by the National Office (not be lower than 50% of the total fund allocation per region)
  - 14.2.1 Training venues (priority on government facilities)
  - 14.2.2 Professional fees of Resource Persons (non-DepED experts)
  - 14.2.3 Office supplies and materials
- 14.3 Technical assistance to divisions. Plan appraisal, quarterly implementation review of division plan and continuous capacity assessment of Division M&E Team (not to exceed 20% of the total fund allocation per region)
  - 14.3.1 Transportation expenses on the actual conduct of progress monitoring
  - 14.3.2 Meetings
- 15. All other expenses not specified above shall be charged against local funds.

#### **D. GENERAL PROCEDURES TO AVAIL THE GRANT**

- 16. The management and liquidation of the RISE Fund shall be guided by the NPSBE/SPHERE Operation Manual.
- 17. All regions shall submit the following to the QAA/M&E TWG Technical Secretariat c/o Mr. Roger B. Masapol, Chief, PDED-OPS, 4<sup>th</sup> Floor, Bonifacio Building, DepED Complex, Pasig City; thru email at [ops.pded@gmail.com](mailto:ops.pded@gmail.com) or via fax at (02) 633 – 7256 on or before August 17, 2012:
  - 17.1 Detailed Work and Financial Plan on the setting up of the Regional Planning and M&E System and the formation of the Regional and Division M&E Team
    - 17.1.1 For non-PRIME<sup>1</sup> regions, the work plan should include orientation on the Monitoring and Evaluation and Plan Adjustment (MEPA) which will be introduced by the Central Office thru PRIME
  - 17.2 Work Plan and Training Design for the capacity building for the divisions, specifically on the following:
    - 17.2.1 Introduction to Total Quality Management (TQM)
    - 17.2.2 Course on Strategic Planning and M&E
    - 17.2.3 Managing the M&E System
    - 17.2.4 Policy Analysis
  - 17.3 Technical Assistance (TA) Plan for the divisions on tracking the progress of implementation of programs and projects
    - 17.3.1 Progress (monthly or quarterly) Monitoring
    - 17.3.2 Performance Reporting
  - 17.4 Special bank account for the RISE Funds
- 18. PDED shall appraise the Work and Financial Plan and endorse to the QAA/M&E TWG Chair for approval. Depending on the decision of the QAA/M&E TWG, PDED shall immediately notify the respective region of the result of the appraisal.
- 19. The Fund will be released to the region in one tranche.

#### **E. RISE FUND MANAGEMENT AND COORDINATION SYSTEM**

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<sup>1</sup> The Philippines' Response for Indigenous People and Muslim Education (PRIME) covers Region I, II, IV-B, IX, X, XI, XII, CAR and CARAGA

20. To ensure smooth implementation of the RISE Fund, the EDPITAF Program Coordination Office (PCO) will handle the management, coordination and monitoring & evaluation of the RISE Fund. The EDPITAF-PCO shall:
  - 20.1 Serve as the over-all management of the RISE Funds in close coordination with OPS and Accounting Division
  - 20.2 Coordinate with the Regional Office in setting up of the RISE Fund
21. The Office of Planning Service (OPS), spearheaded by the Project Development and Evaluation Division (PDED) shall:
  - 21.1 Appraise the Work and Financial Plan and other requirements submitted by the regions and endorse the aforementioned to the QAA/TWG Chair
  - 21.2 Give feedback on the WFP in close coordination with EDPITAF-PCO
22. The Accounting Division shall:
  - 22.1 Handle the financial management aspect of the RISE Fund subject to the usual accounting rules and regulations
23. A National Orientation will then be conducted to present and discuss in detail the RISE Fund Implementation Guidelines following the NPSBE/SPHERE Operation Manual, including the World Bank policy on availment, utilization and liquidation to prevent potential disallowance and implementation issues.
24. At the regional level, the Regional Monitoring & Evaluation Teams (RMETs)<sup>2</sup> shall be responsible for the management, coordination and implementation of the RISE Fund. Regions without a functional RMET shall create their respective RMETs headed by the Assistant Regional Director to perform such functions.

#### **F. MONITORING AND EVALUATION OF THE RISE FUND IMPLEMENTATION**

25. Monitoring and evaluation of the RISE Fund shall be done by the Office of Planning Service (OPS), hence, quarterly M&E and progress reports from all regions will be required to be submitted to OPS.
26. DepED CO Accounting Division shall handle the financial management aspect of the RISE Fund. For Fund release/transfer, they will coordinate with EDPITAF-PCO.

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<sup>2</sup> It can be also be the Regional Quality Management Team (RQMT) or the Regional Monitoring & Evaluation Group (RMEG)