ORDER
No. 48, s. 2017

POLICY AND PROCEDURAL GUIDELINES ON THE CERTIFICATION, AUTHENTICATION AND VERIFICATION OF BASIC EDUCATION SCHOOL RECORDS

To: Undersecretaries  
Assistant Secretaries  
Bureau and Service Directors  
Regional Secretary, ARMM  
Regional Directors  
Schools Division Superintendents  
Public and Private Elementary and Secondary Schools Heads  
All Others Concerned

1. The Department of Education (DepEd) issues the enclosed Policy and Procedural Guidelines on the Certification, Authentication and Verification (CAV) of Basic Education School Records.

2. This DepEd Order aims to provide proper and appropriate guidance and direction to all concerned with a standard and uniform policy, procedures, and guidelines in the processing of CAV transactions pertaining to Basic Education School Records.

3. All other DepEd issuances, rules, and regulations, which are inconsistent with these guidelines and procedures are deemed amended accordingly upon its effectivity.

4. This Order shall take effect immediately upon its approval.

5. Immediate dissemination of and strict compliance with this Order is directed.

LEONOR MAGTOLIS BRIONES
Secretary

Encl.:  
As stated  
To be indicated in the Perpetual Index  
under the following subjects:

AUTHENTICATION  
PROCEDURE
CERTIFICATION  
RECORDS
LEARNERS  
RULES AND REGULATIONS
POLICY  
SCHOOLS
POLICY AND PROCEDURAL GUIDELINES
ON THE CERTIFICATION, AUTHENTICATION AND VERIFICATION
OF BASIC EDUCATION SCHOOL RECORDS

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POLICY AND PROCEDURAL GUIDELINES ON THE CERTIFICATION, AUTHENTICATION AND VERIFICATION OF BASIC EDUCATION SCHOOL RECORDS

I. RATIONALE

School records define and perpetuate the educational status of a person and, most importantly, influence his / her future undertakings, regardless of professional, and / or otherwise, levels. The availability and accessibility thereof must, therefore, be assured. Foremost is that its reliability and authenticity should be guaranteed at all times.

The Department of Education, as the premier public institution with a pronounced vision and mission and core values that are learner - centered, is the custodian of the school records of all learners in the basic formal and non-formal educational systems. As such, it receives requests from these former / current learners for the production and certification of their school records in relation to valid purposes such as, but not limited to, employment abroad, migration to foreign land, travel to overseas, and other personal reasons. The school records so requested are those required to be authenticated by the Department of Foreign Affairs pursuant to existing national and international regulations.

This Department Order provides a unified and comprehensive set of standards, procedures, and guidelines in the processing of Certification, Authentication and Verification (CAV) of school records. It seeks to achieve the following objectives:

a. To give proper guidance and policy direction to all officials, officers and personnel concerned in the processing of requests for CAV;

b. To simplify the requests, processes and procedures in handling CAV transactions;

c. To identify the roles, responsibility and accountability of all personnel involved in the processing of CAV requests;

d. To ensure a standard and uniform processing of CAV requests by personnel concerned thru the step-by-step procedures set forth herein; and

e. To establish sustained mechanisms for monitoring and evaluation of the efficiency and effectiveness of this Policy and Procedural Guidelines (PPG), and the appropriate elevation and resolution of interpretation and implementation issues, problems and concerns.
II. SCOPE OF THE POLICY

For the purpose of this Policy and Procedural Guidelines (PPG), the following shall be covered in its application and implementation as defined under Section III hereunder:

a. Academic School Records
b. Certifying Officers
c. Learners
d. Public and Private Learning Institutions on Basic Education

III. DEFINITION OF TERMS

For the purpose of this Order, the following terms are defined / understood as follows:

a. Academic School Records (ASR) - refers to different education-related documents attesting to the level of academic completion or accomplishment of a learner in the formal system or Alternative Learning System (ALS) that an applicant can request for a Certification, Authentication and Verification (CAV) as required for authentication by the Department of Foreign Affairs (DFA). It shall include any, or all of, the following:

i. Elementary / Secondary Diploma
ii. Form 137
iii. Form 138
iv. Report of Rating in the Accreditation and Equivalency (A&E) Test of the Alternative Learning System (ALS) or Philippine Educational Placement Test (PEPT)

b. Alternative Learning System (ALS) Accreditation and Equivalency Test (A&E Tests) – refers to nationally-administered tests that aim to measure the competencies and life skills of those who have not attended or finished the formal elementary or secondary education. These assessments allow learners to obtain certification of completion at different exits in Basic Education, which may be used to access further education, job promotion, entry to job training, and employment.

c. Applicant – refers to a person who applies for or requests for his / her Academic School Records for Certification, Authentication and Verification purposes only; in any event of his / her physical absence, the applicant may be substituted by a duly authorized representative as defined below.

d. Basic Education School Records – refers to any of the documents defined under Section III (a) above evidencing the level of academic completion or accomplishment of a learner which encompasses kindergarten, elementary, and secondary education as well as alternative learning systems for out-of-school learners and those with special needs.
e. **Certification, Authentication, and Verification (CAV)** – refers to an official and formal processes and acts of checking, reviewing, and certifying to the genuineness and veracity of available academic school records of a learner duly performed by the Department of Education (DepEd) and the Department of Foreign Affairs (DFA) pursuant to existing arrangements by the said Departments; and shall be issued to the applicant only for any of the following applicable purposes and as required by DFA:

i. Employment abroad  
ii. Seaman’s Book / Seafarer’s Registration Certificate  
iii. Migration abroad  
iv. Student visa  
v. Tourist visa  
vi. Fiancé visa  
vii. Descendant’s visa  
viii. Reimbursement of educational allowance / tuition fees of children of Overseas Filipino Workers (OFW)  
ix. Such other purposes as maybe required in writing by the DFA

f. **Certification of Academic Level Completed** – refers to a document issued by duly authorized and competent authorities certifying to a learner’s level of academic completion in the formal education system.

g. **Certification of English as Medium of Instruction** - refers to a document issued by duly authorized and competent authorities certifying that the language used in teaching in a learning institution is English.

h. **Certification of English Translation of Diploma**- refers to a document issued by duly authorized and competent authorities certifying to the translation in the English language of school records in non-English language.

i. **Certification of Graduation** – refers to a document issued by duly authorized and competent authorities certifying, based on incontestable school records, the name of the learner, his / her year of graduation and Learner’s Reference Number (LRN) issued by DepEd; and, in the case of private schools, the learner’s Special Order Number upon graduation from secondary course.

j. **Certification** – refers to the official and formal process and act of attesting to any relevant information pertaining to the academic level of accomplishment of a learner based on his / her existing and verifiable school records as certified to by duly authorized and competent authorities. The Certification covers information as disclosed in the said school records and defined appropriately in this Section, as follows:

i. Academic Level Completed  
ii. English as Medium of Instruction  
iii. English Translation of Diploma  
iv. Graduation
k. **Certifying Officers** - refers to those who are authorized by this Order to certify to the Academic School Records of a learner as enumerated in this Section. They are either appointed or designated by duly-constituted authorities, as follows:

i. Records Custodian / Registrar
ii. School Heads
iii. Division Records Officer
iv. Administrative Officer V for Administrative Section
v. Assistant Schools Division Superintendent
vi. Schools Division Superintendent
vii. Regional Records Officer
viii. Regional Chief Administrative Officer, Administrative Division
ix. Assistant Regional Director
x. Regional Director

For the purpose of issuance of the Certification, Authentication and Verification (CAV) Form, the signature and approval therein shall be by the Regional Director or Assistant Regional Director or Regional Chief Administrative Officer, Administrative Division, in the said signing authority order.

l. **Elementary / Secondary Diploma** – refers to the academic record issued by a learning institution which certifies that a learner has successfully completed the academic requirements for graduation prescribed by the Department of Education for Elementary and Secondary Schools.

m. **Foreign or International Schools** – refers to schools duly established and authorized in accordance with the existing Philippine laws to operate certain educational programs that primarily and principally adhere to either universally accepted and recognized educational policies and standards or the unique differentially prescribed system of education of a particular country other than the Philippines.

n. **Form 137** – refers to the permanent school learner record showing the historical academic and co-curricular records of the learner.

o. **Form 138** – refers to the document showing the academic performance of a learner in a given school year.

p. **Learner** – refers to a pupil or student in the formal system, or in the Alternative Learning System (ALS).

q. **Learning Institutions** - refers to schools, both public and private, including those Philippine Schools based overseas and Foreign or International Schools based in the Philippines, duly accredited and / or recognized by the Department of Education authorized to offer any of the following Basic Education Program:

i. **Kindergarten education** – shall mean one (1) year of preparatory education for children at least five (5) years old as a pre-requisite for Grade 1.
ii. **Elementary education** – refers to the second stage of compulsory basic education which is composed of six (6) years.

iii. **Secondary education** – refers to the third stage of compulsory basic education consisting of four (4) years of junior high school education and two (2) years of senior high school education.

r. **Philippine Schools Overseas** - are duly registered educational institutions operating outside the Philippines and implementing the basic education curriculum of DepEd, purposely to address the educational needs of children of Filipinos and eventually facilitate their reintegration into the Philippine Education System

s. **Philippine Education Placement Test (PEPT)** – refers to nationally-administered assessment for learners in special circumstances the result of which allow learners to access or resume schooling and / or obtain certification of completion by grade level in the DepEd formal system.

t. **Representative** – refers to a person duly authorized in writing through a valid Special Power of Attorney (SPA) executed by the applicant specifically stating the name of the representative and the purpose for its issuance.

In the case of a minor learner, the application may be filed by any of the following, subject to the presentation of a valid proof of affinity to the minor learner as stated hereunder:

i. **Parent** – Birth Certificate of the minor learner

ii. **Brother or Sister of legal age** - Birth Certificates of the minor learner and the brother or sister

iii. **Legal Guardian** – Court Order

u. **Secondary Evidence** – refers to documentary evidences to support any claim of graduation from, or completion of, any level in formal educational system when school records are reported lost, damaged or destroyed; in which case, the applicant must submit any of the following to support his / her claim:

i. Sworn Statement of any two (2) of the applicant’s classmates, principals, or teachers; and supported by either the school graduation photos, souvenir program, or graduation program; or

ii. Duly certified copy of either Form 137 or Form 138 of the learner on file in any Tertiary Learning Institutions; or diploma / transcript of records on file in the learner’s current / previous employer/s.

v. **Special Order (SO)** – an authority issued by the Department of Education as a requirement for the graduation of students from the formal secondary level in private schools, on a certain date, and is granted conditionally upon the successful completion of the requirements therefor.
IV. POLICY STATEMENT

It is the declared policy of the Department of Education that learners, regardless of their level of educational accomplishments, whether in the formal or informal systems, have the right to information on and access to their basic education school records without inconveniencing them. Further, it is also guaranteed that the Department shall afford full protection to any learner’s right to security and confidentiality of his / her records. Finally, these learners' school records must be protected and safeguarded in accordance with standards on records management and custodianship in order to ensure the integrity, accessibility and timely release thereof.
V. PROCEDURES ON THE PROCESSING OF CAV

GENERAL INSTRUCTIONS:

1. An Applicant, or in his / her absence, his / her duly authorized representative, shall fill-out a CAV Request Form (RF) for any of the following Academic School Records (ASR):
   a. Elementary / Secondary Diploma
   b. Form 137
   c. Form 138
   d. Report of Rating in the Accreditation and Equivalency (A&E) Test of the Alternative Learning System (ALS) or Philippine Educational Placement Test (PEPT)

2. The issuance of CAV shall be for a specific purpose only as defined in Section III (e) of this PPG.

3. Special Order (SO) Number must be indicated in the Diploma and Certificate of Graduation issued by private secondary schools. In any case where SO No. is not available, the School Head should not act favorably on the RF of the Applicant.

4. In case of lost, damaged or destroyed documents, inform the applicant accordingly. However, the applicant should be advised to produce any of the following as maybe basis for the reconstruction of Academic School Records:
   a. Sworn Statement of any two (2) of the applicant’s classmates, principals, or teachers; and supported by either the school graduation photos, souvenir program, or graduation program; or
   b. Duly certified copy of either Form 137 or Form 138 of the learner on file in any Tertiary Learning Institutions; or diploma / transcript of records on file in the learner’s current / previous employer/s.

5. In case of lost diploma, reconstructed diploma shall be issued for CAV purposes only upon presentation of any of the abovementioned requirements under preceding item number 4.

6. The reconstruction of a Diploma shall be subject to submission of incontestable proofs of a Learner’s completion of the academic requirements of the appropriate academic program; provided that the contents of the diploma shall strictly follow the DepEd - prescribed format.

7. The translation in English Language of a valid diploma stated in pure Filipino Version shall be the function of the School concerned, subject to the approval thereof by the Division Office.

8. No service fees shall be charged from any applicant for Certification, Authentication, and Verification (CAV).

9. The issuance of CAV shall be the function and responsibility of the Records Section in DepEd Regional Offices.
10. All Schools Division Offices shall submit the following documents (in soft and / or hard copy) to their respective DepEd Regional Offices:

   a. Enrolment List per school within 60 days after the opening of classes;
   b. List of School Graduates and School Form 5 (Report on Promotion and Learning Progress and Achievement), not later than 30 days from the date of graduation; and in the case of graduates from private secondary schools, the List of Graduates with Special Order Number; and
   c. Updated list of school heads and their respective specimen signatures, within 30 days before the opening of classes.

11. All Regional Offices shall submit to the DFA thru its official e-mail accounts (ff.fetalco@oca.dfa.gov.ph or authenticationdfa@gmail.com) its updated list of authorized signatories on CAV with their specimen signatures.
## NARRATIVE PROCEDURES

### A. AT THE SCHOOL

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<th>Time Frame</th>
<th>Output</th>
<th>Form</th>
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</thead>
<tbody>
<tr>
<td>1. Receive Request Form (RF)</td>
<td>Receive RF</td>
<td>Records Custodian / Registrar*</td>
<td>Two (2) minutes</td>
<td>RF</td>
<td>CAV Form &quot;1&quot; (Request Form)</td>
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<td>*in his/her absence, the School Head</td>
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<tr>
<td>2. Review of Request Form (RF)</td>
<td>Check the completeness of information and requirements required in the RF</td>
<td>Records Custodian / Registrar*</td>
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<tr>
<td>Note: If incomplete, return to the applicant with proper advice; if complete, proceed to No. 3</td>
<td>*in his/her absence, the School Head</td>
<td>Five (5) minutes</td>
<td>RF for processing</td>
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<td></td>
<td>Search for the appropriate Academic School Records as requested by the Applicant:</td>
<td>Records Custodian / Registrar*</td>
<td></td>
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<td>&gt; If available, proceed to No. 4</td>
<td>*in his/her absence, the School Head</td>
<td>Thirty (30) minutes</td>
<td>Requested ASR</td>
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<tr>
<td>3. Verification of Records</td>
<td>&gt; If not available, check availability of the records in the Schools Division Office (SDO) concerned through School Referral Form*.</td>
<td>Records Custodian / Registrar*</td>
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<td>*to be hand-carried by the applicant / representative to the SDO</td>
<td>*in his/her absence, the School Head</td>
<td>Thirty (30) minutes</td>
<td>School Referral Form</td>
<td>CAV Form &quot;2&quot; School Referral Form to Division Office</td>
</tr>
<tr>
<td>4. Evaluation of Records</td>
<td>Check and validate the correctness and completeness of the information in the SRF against the available school records.</td>
<td>Records Custodian / Registrar*</td>
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<td>&gt; If matched, proceed to No. 5</td>
<td>*in his/her absence, the School Head</td>
<td>Not more than ten (10) minutes</td>
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<tr>
<td>Process</td>
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<td>Time Frame</td>
<td>Output</td>
<td>Form</td>
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<td>CAV Form “3”</td>
<td>Indorsement for Correction of Entries in the Academic School Records</td>
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<td></td>
<td>CAV Form “4”</td>
<td>Certification of Enrolment/Completion/Graduation</td>
</tr>
<tr>
<td>5. Approval of the Request</td>
<td>&gt; Prepare the Academic School Records and Certification and affix his/her initials.</td>
<td>Records Custodian</td>
<td>thirty (30) minutes</td>
<td>Academic School Record and Certification.</td>
<td></td>
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<tr>
<td></td>
<td>&gt; Review the accuracy and veracity of the Academic School Records and Request Form.</td>
<td>School Head</td>
<td>ten (10) minutes</td>
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<td></td>
<td>&gt;&gt; If in order, signs and approves the ASR and Certification and prepares indorsement for transmittal to the RO.</td>
<td>School Head</td>
<td>ten (10) minutes</td>
<td>Approved ASR and Certification in sealed envelope with indorsement</td>
<td>CAV Form “5” School Transmittal to the Regional Office</td>
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<td></td>
<td>&gt;&gt; If not in order, return to Records Custodian for appropriate action until the noted discrepancy/ies is clarified and rectified</td>
<td>Records Custodian</td>
<td>ten (10) minutes</td>
<td></td>
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<tr>
<td>6. Release of Certification</td>
<td>Release the approved ASR and Certification in two (2) copies and in sealed envelope to the applicant together with the indorsement for transmittal to the RO:</td>
<td>Records Custodian</td>
<td>three (3) minutes</td>
<td>Approved ASR and Certification in sealed envelope.</td>
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<td></td>
<td>&gt; Original and duplicate copy of the Certification</td>
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<td>&gt; Two (2) Certified true copies of the ASR</td>
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<td>Process</td>
<td>Tasks</td>
<td>Responsible Unit / Person</td>
<td>Time Frame</td>
<td>Output</td>
<td>Form</td>
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<td>7. Recording and Maintenance of Certification</td>
<td>&gt; The School Head shall immediately inform the Regional Office about the CAV requests duly approved in the Division thru any of the following means: 1. E-mail 2. Text Message 3. Fax  Note: Schools and Regional Offices shall provide updated list of duly authorized e-mail address and contact numbers</td>
<td>School Head</td>
<td></td>
<td></td>
<td>CAV Form “6”  List of Approved CAV Request</td>
</tr>
<tr>
<td></td>
<td>Record the release and maintains files of ASR and Certification duly acknowledged by the applicant.</td>
<td>Records Custodian</td>
<td>Five (5) minutes</td>
<td>List of ASR issued and the receiving copy of Indorsement</td>
<td></td>
</tr>
</tbody>
</table>
B. AT THE SCHOOLS DIVISION OFFICE

Note: Only the following cases shall be handled in the Division Office:
1. Referral from the school of the non-availability of Academic School Record.
2. Results of rating on Alternative Learning Systems A&E Test and Philippine Educational Placement Test (PEPT).
3. Schools that ceased operation for whatever causes wherein the learners’ school records are required to be surrendered to the Department of Education pursuant to existing regulations under Department Order 88 s. 2010 dated June 24, 2010.

### 1. Referral from the school of the non-availability of Academic School Record

<table>
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<th>Responsible Unit / Person</th>
<th>Time Frame per Applicant</th>
<th>Output</th>
<th>Form</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Receive of School Referral Form (SRF)</td>
<td>Receive SRF</td>
<td>Division Records Officer*</td>
<td>Two (2) minutes</td>
<td>SR</td>
<td>CAV Form “2” School Referral Form (SRF)</td>
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<td>*in his/her absence, the AO V for the Administrative Section</td>
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</tr>
<tr>
<td>2. Verification of Records</td>
<td>Search for the appropriate Academic School Records as requested by the School:</td>
<td>Division Records Officer*</td>
<td>Thirty (30) minutes</td>
<td>Requested ASR</td>
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<td></td>
<td>&gt; If available, proceed to No. 3</td>
<td>*in his/her absence, the AO V for the Administrative Section</td>
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<td></td>
<td>&gt; If not available, inform the requesting School that it has no record thru a Certification of Non-availability of ASR.</td>
<td>Division Records Officer*</td>
<td>Fifteen (15) minutes</td>
<td>Certification of Non-availability of ASR.</td>
<td>CAV Form “7” Certification of Non-availability of Academic School Records in the Division Office</td>
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<td>*in his/her absence, the AO V for the Administrative Section</td>
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<tr>
<td>3. Evaluation of Records</td>
<td>Checks and validates the correctness and completeness of the information in the RF.</td>
<td>Division Records Officer*</td>
<td>Ten (10) minutes</td>
<td>Validated RF</td>
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<td>&gt; If matched, proceed to No. 4</td>
<td>*in his/her absence, the AO V for the Administrative Section</td>
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<td>&gt; If unmatched, indicate the discrepancy/ies noted during the evaluation of records.</td>
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<tr>
<td>Process</td>
<td>Tasks</td>
<td>Responsible Unit / Person</td>
<td>Time Frame</td>
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<td>4. Release of Results of Verification</td>
<td>&gt; If matched, release the ASR to the requesting school with proper Indorsement.</td>
<td>Division Records Officer</td>
<td>Fifteen (15) minutes</td>
<td>Validated ASR with Indorsement</td>
<td>CAV Form “8” Return Indorsement of Schools Division Office to the School</td>
</tr>
<tr>
<td></td>
<td>&gt; If unmatched, issue the Certification stating the noted discrepancy/ies.</td>
<td>Division Records Officer* *in his/her absence, the AO V for the Administrative Section</td>
<td>Fifteen (15) minutes</td>
<td>Certification</td>
<td>CAV Form “9” (Certification of Discrepancy / ies)</td>
</tr>
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<td></td>
<td>&gt; The Division Records Officer shall immediately inform the Regional Office about the CAV requests duly approved in the Division thru any of the following means: 1. E-mail 2. Text Message 3. Fax</td>
<td>Division Records Officer* *in his/her absence, the AO V for the Administrative Section</td>
<td></td>
<td></td>
<td>CAV Form “6” List of Approved CAV Request</td>
</tr>
<tr>
<td>Note: Divisions and Regional Offices shall provide updated list of duly authorized e-mail address and contact numbers</td>
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</tr>
<tr>
<td>5. Recording and Maintenance of the Results of Verification</td>
<td>Record the release and maintains files of Results of Verification duly acknowledged by the applicant.</td>
<td>Division Records Officer* *in his/her absence, the AO V for the Administrative Section</td>
<td>Five (5) minutes</td>
<td>List of ASR issued and the receiving copy of Indorsement</td>
<td></td>
</tr>
</tbody>
</table>
## 2. Results of Rating on Alternative Learning Systems A&E Test and Philippine Educational Placement Test (PEPT)

<table>
<thead>
<tr>
<th>Process</th>
<th>Tasks</th>
<th>Responsible Unit / Person</th>
<th>Time Frame</th>
<th>Output</th>
<th>Form</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Receive of Request Form (RF)</td>
<td>Receives RF</td>
<td>Division Records Officer* *in his/her absence, the AO V for the Administrative Section</td>
<td>Upon presentation of the Request Form</td>
<td>Request Form</td>
<td>CAV Form “10” Request Form (RF) for ALS A&amp;E and PEPT Ratings</td>
</tr>
<tr>
<td>2. Review of RF</td>
<td>Check the completeness of information and requirements required in the RF Note: if incomplete, return to the applicant with proper advice; if complete, proceed to No. 3</td>
<td>Division Records Officer* *in his/her absence, the AO V for the Administrative Section</td>
<td>Five (5) minutes</td>
<td>RF for processing</td>
<td></td>
</tr>
<tr>
<td></td>
<td>Search for the Result of Rating as requested by the Applicant:</td>
<td>Division Records Officer* *in his/her absence, the AO V for the Administrative Section</td>
<td>Ten (10) minutes</td>
<td>Requested ASR</td>
<td></td>
</tr>
<tr>
<td>3. Verification of Records</td>
<td>&gt; If A&amp;E Rating is not available, inform the Applicant that the Division Office has no record; and coordination will be made by the Division Records Officer with the Division ALS Coordinator who, in turn, will make the necessary verification with the Bureau of Education Assessment (BEA), DepEd Central Office</td>
<td>Division Records Officer* *in his/her absence, the AO V for the Administrative Section</td>
<td>Within one (1) hour</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Process</td>
<td>Tasks</td>
<td>Responsible Unit / Person</td>
<td>Time Frame</td>
<td>Output</td>
<td>Form</td>
</tr>
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</tr>
<tr>
<td>&gt;If PEPT Rating is not available inform the Applicant that the Division Office has no record; and coordination will be made by the Division Records Officer with the Bureau of Education Assessment (BEA), DepEd Central Office</td>
<td>Division Records Officer*</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>*in his/her absence, the AO V for the Administrative Section</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt; If with positive verification, proceed to No.4</td>
<td>Division Records Officer/ALS Coordinator</td>
<td>Upon receipt of the information from BEA</td>
<td></td>
<td>CAV Form “11” Certification of Non-availability of the Rating (A&amp;E / PEPT)</td>
<td></td>
</tr>
<tr>
<td>&gt; If with negative verification, inform the Applicant accordingly and issue a Certification of Non-availability of Rating.</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt; Prepare the Academic School Records and Certification and affix his/her initials.</td>
<td>Division Records Officer*</td>
<td>Not more than thirty (30) minutes</td>
<td>Academic School Record duly certified and sealed.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>*in his/her absence, the AO V for the Administrative Section</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt; Check the accuracy and veracity of the ASR and RF.</td>
<td>SDS</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;&gt; If in order, sign and approve the ASR and Certification and prepares indorsement for transmittal to the RO.</td>
<td>SDS</td>
<td>Not more than five (5) minutes</td>
<td>Approved ASR and Certification</td>
<td></td>
<td></td>
</tr>
<tr>
<td>CAV Form “12” Certification of Rating A&amp;E /PEPT</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>CAV Form “13” Division Office Transmittal to the Regional Office</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>&gt;&gt;If not in order, return to Division Records Officer for appropriate action until the noted discrepancy/ies is clarified and rectified</td>
<td>Division Records Officer*</td>
<td>Not more than ten (10) minutes</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>*in his/her absence, the AO V for the Administrative Section</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Process</td>
<td>Tasks</td>
<td>Responsible Unit / Person</td>
<td>Time Frame</td>
<td>Output</td>
<td>Form</td>
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</tr>
</tbody>
</table>
| Release the approved ASR and Certification in two (2) copies and in sealed envelope to the applicant for transmittal to the Regional Office:  
> Original and duplicate copy of the Certification  
> Two (2) Certified true copies of the ASR | Division Records Officer* | Not more than 15 minutes | Approved ASR and Certification in a sealed envelope and indorsement to the RO | |
| 5. Release of Certification | > The Division Records Officer shall immediately inform the Regional Office about the CAV requests duly approved in the Division thru any of the following means:  
1. E-mail  
2. Text Message  
3. Fax  
Note: Divisions and Regional Offices shall provide updated list of duly authorized e-mail address and contact numbers | Division Records Officer* | *in his/her absence, the AO V for the Administrative Section | |
| 6. Recording and Maintenance of Certification | Record the release and maintain files of ASR and Indorsement duly acknowledged by the applicant. | Division Records Officer* | Five (5) minutes | List of ASR issued and the receiving copy of Indorsement | CAV Form “14” List of Approved CAV Request |
| | | | | *in his/her absence, the AO V for the Administrative Section | |
### 3. Schools that ceased operation

<table>
<thead>
<tr>
<th>Process</th>
<th>Tasks</th>
<th>Responsible Unit / Person</th>
<th>Time Frame</th>
<th>Output</th>
<th>Form</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Receive RF</td>
<td>Receive RF</td>
<td>Division Records Officer* *in his/her absence, the AO V for the Administrative Section.</td>
<td>Two (2) minutes</td>
<td>RF</td>
<td>CAV Form “1” Request Form (RF)</td>
</tr>
<tr>
<td>2. Verification of Records</td>
<td>Search for the appropriate Academic School Records.</td>
<td>Division Records Officer* *in his/her absence, the AO V for the Administrative Section.</td>
<td>Thirty (30) minutes</td>
<td>Requested ASR</td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt; If available, proceed to No. 3</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt; If not available, inform the applicant accordingly and issue Certification.</td>
<td>Division Records Officer* *in his/her absence, the AO V for the Administrative Section.</td>
<td>Fifteen (15) minutes</td>
<td>Certification of Non-availability of ASR.</td>
<td>CAV Form “7” Certification of Non-availability of Academic School Records in the Division Office.</td>
</tr>
<tr>
<td>3. Evaluation of Records</td>
<td>Check and validate the correctness and completeness of the information in the RF.</td>
<td>Division Records Officer* *in his/her absence, the AO V for the Administrative Section.</td>
<td>Ten (10) minutes</td>
<td>Validated RF</td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt; If matched, proceed to No. 4</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt; If unmatched, indicate the discrepancy/ies noted during the evaluation of records.</td>
<td>Division Records Officer *in his/her absence, the AO V for the Administrative Section</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Release of Results of Verification</td>
<td>&gt; If matched, release the ASR to the Regional Office with proper indorsement.</td>
<td>Division Records Officer *in his/her absence, the AO V for the Administrative Section</td>
<td>Fifteen (15) minutes</td>
<td>Validated ASR with Indorsement</td>
<td>CAV Form “13” (Division Office transmittal to the Regional Office)</td>
</tr>
<tr>
<td></td>
<td>&gt; If unmatched, issue the Certification stating the noted discrepancy/ies.</td>
<td>Division Records Officer *in his/her absence, the AO V for the Administrative Section</td>
<td>Fifteen (15) minutes</td>
<td>Certification</td>
<td>CAV Form “9” (Certification of Discrepancy / ies)</td>
</tr>
<tr>
<td>Process</td>
<td>Tasks</td>
<td>Responsible Unit / Person</td>
<td>Time Frame</td>
<td>Output</td>
<td>Form</td>
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<td>------------------------------------------------------------------------</td>
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</tr>
<tr>
<td>&gt; The Division Records Officer shall immediately inform the Regional Office about the CAV requests duly approved in the Division thru any of the following means: 1. E-mail 2. Text Message 3. Fax Note: Divisions and Regional Offices shall provide updated list of duly authorized e-mail address and contact numbers</td>
<td>Division Records Officer*  *in his/her absence, the AO V for the Administrative Section</td>
<td></td>
<td></td>
<td>CAV Form “6” List of Approved CAV Request</td>
<td></td>
</tr>
<tr>
<td>5. Recording and Maintenance of the Results of Verification</td>
<td>Record the release and maintain files of Results of Verification duly acknowledged by the applicant.</td>
<td>Division Records Officer*  *in his/her absence, the AO V for the Administrative Section</td>
<td>Five (5) minutes</td>
<td>List of ASR issued and the receiving copy of Indorsement</td>
<td></td>
</tr>
</tbody>
</table>
### C. AT THE REGIONAL OFFICE

<table>
<thead>
<tr>
<th>Process</th>
<th>Tasks</th>
<th>Responsible Unit / Person</th>
<th>Time Frame</th>
<th>Output</th>
<th>Form</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Receive of CAV Application Form (CAF)</td>
<td>Receive CAF</td>
<td>Regional Records Officer (RRO)</td>
<td>Upon presentation of the CAF</td>
<td>CAF</td>
<td>CAV Form &quot;15&quot; CAV Application Form</td>
</tr>
<tr>
<td></td>
<td>&gt; Review the completeness of information in the CAF</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt; Open the sealed envelope containing requirements required in the CAF</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt; Validate CAF against the message received from the School Head concerned</td>
<td>Regional Records Officer (RRO)</td>
<td>20 minutes</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt; Check veracity of the signature of the School Head</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt; Proceed to No. 3</td>
<td></td>
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<tr>
<td>Note: School Heads shall submit yearly their specimen signature cards to their respective Division Offices</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>2. Review of CAF</td>
<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
<tr>
<td>3. Validation of Records</td>
<td>&gt; In cases of Diploma and Certificate of Graduation, check the Special Order No. indicated therein against the records on file in the Regional Office</td>
<td>Regional Records Officer (RRO)</td>
<td>Ten (10) minutes</td>
<td>Requested record</td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt;&gt; If matched, proceed to No. 4</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt;&gt; If unmatched, inform the Applicant accordingly with proper advice as to other courses of actions he/she may take</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt; If available, proceed to No. 4</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Approval of CAF</td>
<td>&gt; Prepare the CAV</td>
<td>RRO</td>
<td>Thirty (30) minutes</td>
<td>CAV</td>
<td>CAV Form &quot;16&quot; CAV</td>
</tr>
<tr>
<td></td>
<td>&gt; Check the completeness and accuracy of the CAV</td>
<td>Applicant / RRO</td>
<td>Five (5) minutes</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Process</td>
<td>Tasks</td>
<td>Responsible Unit / Person</td>
<td>Time Frame</td>
<td>Output</td>
<td>Form</td>
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<tr>
<td></td>
<td>&gt; If the Applicant finds some information lacking and/or inaccurate, the RRO shall make the necessary update/correction</td>
<td>RRO</td>
<td>Ten (10) minutes</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt; If in order and the Applicant is himself/herself the subject of CAV, the RRO shall capture his/her photo; If in order but the Applicant is represented by an authorized representative, attach the picture or photo in the CAV and the RRO shall scan the photo;</td>
<td>RRO</td>
<td>Ten (10) minutes</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt; Affix initial in the CAV Form</td>
<td>RRO</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt; Issue Claim Stub for DFA Authentication</td>
<td>RRO</td>
<td>Five (5) minutes</td>
<td>DFA Claim Stub</td>
<td></td>
</tr>
<tr>
<td></td>
<td><em>Note: The date of release at the DFA that must be indicated in the Claim Stub should be eight (8) working days from receipt of the application in the regional office.</em></td>
<td>RRO</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt; Forwards CAV to the Approving Officer as defined under Section III of this PPG in the order of their authority</td>
<td>RRO</td>
<td>Two (2) minutes</td>
<td>CAV</td>
<td></td>
</tr>
<tr>
<td></td>
<td>&gt; Sign the CAV</td>
<td>RD / ARD / CAO</td>
<td>Within Two (2) hours</td>
<td>Approved CAV</td>
<td></td>
</tr>
<tr>
<td>5. Release of Certification</td>
<td>&gt; Prepare Master List of duly approved CAVs.</td>
<td>RRO</td>
<td>Within Two (2) hours</td>
<td>Master List</td>
<td>CAV Form “17” Certification of English as Medium of Instruction</td>
</tr>
<tr>
<td>Process</td>
<td>Tasks</td>
<td>Responsible Unit / Person</td>
<td>Time Frame</td>
<td>Output</td>
<td>Form</td>
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</tr>
</tbody>
</table>
| 5. Release of Certification   | > Receive the original copy of Master List and CAVs for transmittal to DFA.  
                               | > Check completeness of the documents against the Master List          | DFA official courier      | Daily       | Master Lists of approved CAVs | Master List of Documents for DFA Authentication |
|                               | >> If complete, seal the envelope                                     |                           |            |                            |                                           |
|                               | >> If incomplete, reconcile with the RRO                              |                           |            |                            |                                           |
|                               | > E-mail to DFA (ff.fetaico@oca.dfa.gov.ph or authenticationdfa@gmail.com) the Master List of duly approved CAVs. |                           |            |                            |                                           |
|                               | > Filing and Storage of e-Documents/Photocopy of Approved CAV          | RRO                       | Within the day | Master List of CAVs     |                                           |
|                               | > Update register CAV requests                                       |                           |            |                            |                                           |
| 6. Recording and Maintenance of Certification | Record the release and maintains files of CAV duly acknowledged by the official courier. | Records Custodian         | Five (5) minutes | CAV file copies / Master List |                                           |
# FLOWCHART OF PROCEDURES

## PROCEDURES ON THE PROCESSING OF CAV - SCHOOL LEVEL

<table>
<thead>
<tr>
<th>STEP</th>
<th>PROCESS FLOW</th>
<th>RESPONSIBILITY/OUTPUT</th>
<th>PROCESSING TIME</th>
<th>REQUIRED FORM/DOCUMENT</th>
</tr>
</thead>
</table>
| 1    | **START**    | RECORDS CUSTODIAN/REGISTRAR:  
* RECEIVE REQUEST FORM - SCHOOL (RF) | TWO (2) MINUTES | RF FORM "A" (REQUEST FORM) |
|      | RECEIVING OF REQUEST FORM (RF) |                      |                 |                        |
| 2    | REVIEW OF REQUEST FORM (RF) | RECORDS CUSTODIAN/REGISTRAR:  
* CHECK THE COMPLETENESS OF INFORMATION AND REQUIREMENTS REQUIRED IN THE RF. | FIVE (5) MINUTES |                        |
|      | NO (INCOMPLETE) |                      |                 |                        |
|      | APPROVED: Return to the Applicant |                      |                 |                        |
|      | YES | RF FOR PROCESSING |                 |                        |
| 3    | VERIFICATION OF RECORDS | RECORDS CUSTODIAN/REGISTRAR:  
* SEARCH FOR THE APPROPRIATE ACADEMIC SCHOOL RECORDS (ASR) AS REQUESTED BY APPLICANT.  
* IF NOT AVAILABLE, CHECK AVAILABILITY OF THE RECORDS IN THE SCHOOLS DIVISION OFFICE (SDO) CONCERNED THROUGH SCHOOL REFERRAL FORM*. (NOTE: TO BE HAND-CARRIED BY THE APPLICANT / REPRESENTATIVE TO THE SDO. | THIRTY (30) MINUTES | CAV FORM "B" (SCHOOL REFERRAL TO DIVISION OFFICE) |
|      | NO |                             |                 |                        |
|      | YES |                             |                 |                        |
|      | AVAILABILITY |                             |                 |                        |
|      | NO | SCHOOL DIVISION OFFICE (SDO) |                 |                        |
|      | YES |                             |                 |                        |
|      | Re-verification of Records |                             |                 |                        |
|      | NO |                             |                 |                        |
|      | YES |                             |                 |                        |
|      | APPROVED |                             |                 |                        |
|      | NO |                             |                 |                        |
|      | ISSUANCE OF LETTER OF DENIAL |                             |                 |                        |
| 4    | EVALUATION OF RECORDS | RECORDS CUSTODIAN/REGISTRAR:  
* CHECK AND VALIDATE THE CORRECTNESS AND COMPLETENESS OF THE INFORMATION IN THE SRF AGAINST THE AVAILABLE SCHOOL RECORDS.  
* IF UNMATCHED AS TO NAME/DATE OF BIRTH, CLARIFY THE DISCREPANCY/YES WITH THE APPLICANT AND REQUIRE HIM/HER TO SUBMIT DULY AUTHENTICATED BIRTH CERTIFICATE (BC) ISSUED BY PHILIPPINE STATISTICS AUTHORITY (PSA). | NOT MORE THAN TEN (10) MINUTES | CAV FORM "C" (PROOF OF CORRECTION OF ENTRIES IN THE ACADEMIC SCHOOL RECORDS) |
|      | NO |                             |                 |                        |
|      | YES |                             |                 |                        |
|      | APPROVED: Return to the Applicant |                             |                 |                        |
|      | YES |                             |                 |                        |

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Cont. to page 2

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## PROCEDURES ON THE PROCESSING OF CAV - SCHOOL LEVEL

<table>
<thead>
<tr>
<th>Process Flow</th>
<th>Responsibility/Output</th>
<th>Processing Time</th>
<th>Required Form/Document</th>
</tr>
</thead>
</table>
| **STEP 4**   | Records Custodian/Registrar:  
  - If the unmatched records persist, endorse the applicant to the Legal Unit of the Regional Office for proper disposition.  
  - Securing/Submission of Birth Certificate  
  - Re-evaluation of Records  
  - Matched/No  
  - Legal Unit of the Regional Office  | Not more than thirty (30) minutes | CA Form "J" (Endorsement for Correction of Entries in the Academic School Records) |
|              |                        |                 |                       |
| **STEP 5**   | Records Custodian/Registrar:  
  - Prepare the academic school records and certification and affix his/her initials.  
  - Approval of Request Form  
  - Approved ASR and Certification  
  - ASR duly certified and sealed  | Ten (10) minutes | CA Form "K" (Certificate of Enrollment/Completion/Graduation) |
|              | School Head:  
  - Review the accuracy and veracity of the academic school records and request form.  | Ten (10) minutes |                       |
|              | Records Custodian/Registrar:  
  - If in order, signs and approves the ASR and certification and prepares endorsement for transmittal to the RO.  
  - Ten (10) minutes  |                       |                       |
|              | School Head:  
  - If not in order, return to Records Custodian/Registrar for appropriate action until the noted discrepancy/ies is clarified and rectified.  | Ten (10) minutes | CA Form "S" (School Transmittal to the Regional Office)  |
<table>
<thead>
<tr>
<th>STEP 6</th>
<th>PROCESS FLOW</th>
<th>RESPONSIBILITY/OUTPUT</th>
<th>PROCESSING TIME</th>
<th>REQUIRED FORM/DOCUMENT</th>
</tr>
</thead>
</table>
| Cont. from page 2 | | RECORDS CUSTODIAN/REGISTRAR:  
* RELEASE THE APPROVED ASR AND CERTIFICATION IN TWO (2) COPIES AND SEALED ENVELOPE TO THE APPLICANT TOGETHER WITH THE ENDORSEMENT FOR TRANSMISSION TO THE REGIONAL OFFICE:  
  > ORIGINAL AND DUPLICATE COPY OF THE CERTIFICATION  
  > TWO (2) CERTIFIED TRUE COPIES OF THE ASR.  
* UPDATE LIST OF APPROVED CAV REQUEST. | THREE (3) MINUTES | CAV FORM "A" (LIST OF APPROVED CAV REQUEST) |
| | RELEASE OF CERTIFICATION | | | |
| | ADVANCE INFORMATION OF CAV REQUEST | | | |
| | REGIONAL OFFICE | | | |
| SCHOOL HEAD:  
* THE SCHOOL HEAD SHALL IMMEDIATELY INFORM THE REGIONAL OFFICE ABOUT THE CAV REQUESTS DULLY APPROVED IN THE SCHOOL THRU ANY OF THE FOLLOWING MEANS:  
1. E-MAIL  
2. TEXT MESSAGES  
3. FAX  
NOTE: SCHOOLS AND REGIONAL OFFICES SHALL PROVIDE UPDATED LIST OF DULLY AUTHORIZED E-MAIL ADDRESS AND CONTACT NUMBERS. | | | |

<table>
<thead>
<tr>
<th>STEP 7</th>
<th>PROCESS FLOW</th>
<th>RESPONSIBILITY/OUTPUT</th>
<th>PROCESSING TIME</th>
<th>REQUIRED FORM/DOCUMENT</th>
</tr>
</thead>
</table>
| RECORDING AND MAINTENANCE OF CERTIFICATION | | RECORDS CUSTODIAN/REGISTRAR:  
* RECORD THE RELEASE AND MAINTAINS FILES OF ASR AND CERTIFICATION DULLY ACKNOWLEDGE BY THE APPLICANT. | | | |
# Procedures on the Processing of CAV - Division Level

<table>
<thead>
<tr>
<th>Step</th>
<th>Process Flow</th>
<th>Responsibility/Output</th>
<th>Processing Time</th>
<th>Required Form/Document</th>
</tr>
</thead>
</table>
| 1    | **Start**    | Division Records Officer:  
* Receive School Referral Form (SRF)* | Two (2) minutes | CAV Form "Y" (School Referral to Division Office) |
|      | RECEIVING OF SCHOOL REFERRAL (SR) | Division Records Officer:  
* Search for the appropriate Academic School Records (ASR) as requested by the school.*  
* If available, proceed to Step 3 | Thirty (30) minutes | CAV Form "U" (Certification of Non-Availability of Academic School Records in the Division Office) |
|      |              | Division Records Officer:  
* If not available, inform the requesting school that it has no record thru a Certification of Non-Availability of ASR.* | Fifteen (15) minutes | |
| 2    | Verification of Records | Division Records Officer:  
* Check and validates the correctness and completeness of the information in the RF.*  
* If matched, proceed to Step 4  
* If unmatched, indicate the Discrepancy/ies noted during the evaluation of records.* | Ten (10) minutes | |
|      |              | Division Records Officer:  
* If matched, release the ASR to the requesting school with proper indorsement.*  
* If unmatched, issue the certification stating the noted Discrepancy/ies.* | Fifteen (15) minutes | CAV Form "U" (Certification of Discrepancy/ies) |
|      |              | Division Records Officer:  
* The Division Records Officer shall immediately inform the Regional Office about the CAV Requests duly approved in the Division thru any of the following means:  
1. E-mail  
2. Text Messages  
3. Fax  
NOTE:  
Regional & Divisional Offices shall provide updated list of duly authorized E-mail address and contact numbers.* | Fifteen (15) minutes | CAV Form "U" (List of Approved E-mail) |
| 3    | Evaluation of Records | Division Records Officer:  
* Record the release and maintain files of ASR and indorsement duly acknowledged by the applicant.* | Five (5) minutes | CAV Form "U" (List of Approved E-mail) |
| 4    | Release of Results of Verification | CAV Form "U" (List of Approved E-mail) |

*End*
# PROCEDURES ON THE PROCESSING OF CAV

## RESULTS OF RATING ON ALTERNATIVE LEARNING SYSTEMS (ALS) A&E TEST AND PHILIPPINE EDUCATIONAL PLACEMENT TEST (PEPT)

<table>
<thead>
<tr>
<th>Process Flow</th>
<th>Responsibility/Output</th>
<th>Processing Time</th>
<th>Required Form/Document</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>STEP 1</strong></td>
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</tr>
<tr>
<td>START</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>RECEIVING OF REQUEST FORM (RF)</td>
<td>DIVISION RECORDS OFFICER (DRO): REQUIRES REQUEST FORM (RF)</td>
<td>UPON PRESENTATION OF THE REQUEST FORM FOR ALS A&amp;E AND PEPT RATING</td>
<td></td>
</tr>
<tr>
<td><strong>STEP 2</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>REVIEW OF REQUEST FORM (RF)</td>
<td>DIVISION RECORDS OFFICER (DRO): CHECK THE COMPLETENESS OF INFORMATION AND REQUIREMENTS REQUIRED IN THE RF.</td>
<td>5 (FIVE) MINUTES</td>
<td></td>
</tr>
<tr>
<td>NO (INCOMPLETE)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>YES</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>RF FOR PROCESSING</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>STEP 3</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>VERIFICATION OF RECORDS</td>
<td>DIVISION RECORDS OFFICER (DRO): SEARCH FOR THE RESULT OF RATING AS REQUESTED BY THE APPLICANT.</td>
<td>10 (TEN) MINUTES</td>
<td></td>
</tr>
<tr>
<td>NO (AVAILABILITY)</td>
<td>BUREAU OF EDUCATION ASSESSMENT (BEA) - DEPED CENTRAL OFFICE</td>
<td>FOR ALS A&amp;E AND PEPT RATING</td>
<td></td>
</tr>
<tr>
<td>YES</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>RE-VERIFICATION OF RECORDS</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>YES (APPROVED)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>ISSUANCE OF CERTIFICATE OF NON-AVAILABILITY OF RATING</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>STEP 4</strong></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>APPROVAL OF RF</td>
<td>DIVISION RECORDS OFFICER (DRO): PREPARE THE ACADEMIC SCHOOL RECORD AND CERTIFICATION AND AFFIX HIS/HER INITIALS.</td>
<td>NOT MORE THAN 30 (THIRTY) MINUTES</td>
<td></td>
</tr>
</tbody>
</table>

(Cont. to page 2)
PROCEDURES ON THE PROCESSING OF CAV
RESULTS OF RATING ON ALTERNATIVE LEARNING SYSTEMS (ALS) A&E TEST
AND PHILIPPINE EDUCATIONAL PLACEMENT TEST (PEPT)

<table>
<thead>
<tr>
<th>PROCESS FLOW</th>
<th>RESPONSIBILITY/OUTPUT</th>
<th>PROCESSING TIME</th>
<th>REQUIRED FORM/DOCUMENT</th>
</tr>
</thead>
<tbody>
<tr>
<td>Cont. from page 1</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>APPROVED ASR AND RF</td>
<td>SCHOOLS DIVISION SUPERINTENDENT (SDS): * CHECKS THE ACCURACY AND VERACITY OF THE ASR AND RF.</td>
<td></td>
<td>CAN FORM &quot;12&quot; (CERTIFICATION OF RATING - A&amp;E/PEPT)</td>
</tr>
<tr>
<td></td>
<td>SCHOOLS DIVISION SUPERINTENDENT (SDS): * IF IN ORDER, SIGN AND APPROVE THE ASR AND CERTIFICATION AND PREPARES INORSEMENT FOR TRANSMITTAL TO THE RO.</td>
<td>NOT MORE THAN FIVE (5) MINUTES</td>
<td>CAN FORM &quot;13&quot; (DIVISION OFFICE TRANSMITTAL TO THE REGIONAL OFFICE)</td>
</tr>
<tr>
<td></td>
<td>DIVISION RECORDS OFFICER (DRO): * IF NOT IN ORDER, RETURN TO DRO FOR APPROPRIATE ACTION UNTIL THE NOTED DISCREPANCY/IES IS CLARIFIED AND RECTIFIED.</td>
<td>NOT MORE THAN TEN (10) MINUTES</td>
<td></td>
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<tr>
<td>STEP 5</td>
<td>RELEASE OF CERTIFICATION</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>DIVISION RECORDS OFFICER (DRO): * RELEASE THE APPROVED ASR AND CERTIFICATION IN TWO (2) COPIES AND IN SEALED ENVELOPE TO THE APPLICANT FOR TRANSMITTAL TO THE REGIONAL OFFICE: &gt; ORIGINAL AND DUPLICATE COPY OF THE CERTIFICATION &gt; TWO (2) CERTIFIED TRUE COPIES OF THE ASR.</td>
<td>NOT MORE THAN FIVE (5) MINUTES</td>
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<tr>
<td>STEP 6</td>
<td>RECORING AND MAINTENANCE OF CERTIFICATION</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>DIVISION RECORDS OFFICER (DRO): * RECORD THE RELEASE AND MAINTAIN FILES OF ASR AND INORSEMENT DULY ACKNOWLEDGE BY THE APPLICANT.</td>
<td>FIVE (5) MINUTES</td>
<td>CAN FORM 14 (LIST OF APPROVED CAV REQUEST)</td>
</tr>
</tbody>
</table>

PAGE 2 OF 2
## PROCEDURES ON THE PROCESSING OF CAV - DIVISION LEVEL
### (SCHOOLS THAT CEASED OPERATION)

<table>
<thead>
<tr>
<th>PROCESS FLOW</th>
<th>RESPONSIBILITY/OUTPUT</th>
<th>PROCESSING TIME</th>
<th>REQUIRED FORM/DOCUMENT</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>STEP 1</strong></td>
<td><strong>START</strong></td>
<td></td>
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</tr>
<tr>
<td></td>
<td><strong>RECEIVE REQUEST FORM (RF)</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Verification of Records</strong></td>
<td><strong>ISSUANCE OF CERTIFICATION OF NON-AVAILABILITY OF ASR</strong></td>
<td><strong>THIRTY</strong> (30) MINUTES</td>
<td><strong>CAV FORM 7</strong> Certification of non-availability of Academic School Records in the Division Office</td>
</tr>
<tr>
<td></td>
<td><strong>YES</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td><strong>RF/SR FOR PROCESSING</strong></td>
<td></td>
<td></td>
</tr>
<tr>
<td><strong>Evaluation of Records</strong></td>
<td><strong>ISSUANCE OF CERTIFICATION OF DISCREPANCY/IES</strong></td>
<td><strong>TEN</strong> (10) MINUTES</td>
<td><strong>CAV FORM 1.3</strong></td>
</tr>
<tr>
<td></td>
<td><strong>MATCHED</strong></td>
<td><strong>FIFTEEN</strong> (15) MINUTES</td>
<td><strong>CAV FORM 1.3</strong></td>
</tr>
<tr>
<td></td>
<td><strong>NO</strong></td>
<td><strong>FIFTEEN</strong> (15) MINUTES</td>
<td><strong>CAV FORM 1.3</strong></td>
</tr>
<tr>
<td></td>
<td><strong>RELEASE OF RESULTS OF VERIFICATION</strong></td>
<td><strong>FIFTEEN</strong> (15) MINUTES</td>
<td><strong>CAV FORM 1.3</strong></td>
</tr>
<tr>
<td><strong>Recording and Maintenance of the Results of Verification</strong></td>
<td><strong>RECORD THE RELEASE AND MAINTAINS FILES OF RESULTS OF VERIFICATION DUTY ACKNOWLEDGE BY THE APPLICANT.</strong></td>
<td><strong>TEN</strong> (10) MINUTES</td>
<td><strong>CAV FORM 1.4</strong></td>
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</table>

**END**
### PROCEDURES ON THE PROCESSING OF CAV - REGIONAL LEVEL

<table>
<thead>
<tr>
<th>PROCESS FLOW</th>
<th>RESPONSIBILITY/OUTPUT</th>
<th>PROCESSING TIME</th>
<th>REQUIRED FORM/DOCUMENT</th>
</tr>
</thead>
</table>
| **STEP 1**   | **REGIONAL RECORDS OFFICER (RRO):**  
* Receive CAV Application Form (CAF) | **UPON PRESENTATION**  
* OF THE REQUEST FORM | **CAV FORM 15**  
* (CAV APPLICATION FORM) |
| START        | RESTART                | **TEN (10)** MINUTES | |
| RECEIVING OF CAF APPLICATION FORM (CAF) | | | |
| **STEP 2**   | **REGIONAL RECORDS OFFICER:**  
* Review the completeness of information in the CAF.  
* Open the sealed envelope containing requirements required in the CAF.  
* Validate CAF against the message received from the school head concerned.  
* Check veracity of the signature of the school head.  
* Note: School Heads shall submit yearly their specimen signature cards to their respective division offices. | TWENTY (20) MINUTES | |
| REVIEW OF CAF | | | |
| APPROVED | NO (UNMATCHED)  
* Return to the Applicant  
YES | ORIGINATING SCHOOL | |
| CAF FOR PROCESSING | | | |
| **STEP 3**   | **REGIONAL RECORDS OFFICER:**  
* In cases of diploma and certificate of graduation, check the special order (S.O.) number indicated therein against the records on file in the regional office.  
* If unmatched, inform the applicant accordingly with proper advise as to other courses of actions he/she may take. | TEN (10) MINUTES | |
| VALIDATION OF RECORDS | | | |
| APPROVED | NO (UNMATCHED)  
* Return to the Applicant  
YES | | |
| CAF FOR PROCESSING | | | |
| **STEP 4**   | **REGIONAL RECORDS OFFICER:**  
* Prepare the CAF  
* Check the completeness and accuracy of the CAF.  
* If the applicant finds some information lacking and/or inaccurate, the RRO shall make the necessary update/correction.  
* If in order and applicant is himself/herself the subject of CAV, the RRO shall capture his/her photo; if in order but the applicant is represented by an authorized representative, attached the picture or photo in the CAV and the RRO shall scan the photo,  
* Affix initial in the CAV Form. | THIRTY (30) MINUTES | |
| APPROVAL OF CAF | | | |
| PREPARATION OF CERTIFICATION | | | |
| *The Applicant checks the completeness and accuracy of the Certification. | | | |
| ID PHOTO CAPTURING | | | |
| NON-APPEARANCE OF THE APPLICANT | Note:  
* To submit ID picture taken within the last 3 months (3.5x5.5cm) passport size. | | |
| FINALIZATION OF CERTIFICATION | | | |
| | | | |
| **CONT. TO PAGE 2** | | | |

![Signature](Signature.png)
### PROCEDURES ON THE PROCESSING OF CAV - REGIONAL LEVEL

<table>
<thead>
<tr>
<th>PROCESS FLOW</th>
<th>RESPONSIBILITY/OUTPUT</th>
<th>PROCESSING TIME</th>
<th>REQUIRED FORM/DOCUMENT</th>
</tr>
</thead>
</table>
| STEP 4       | **REGIONAL RECORDS OFFICER:**  
- ISSUE CLAIM STUB FOR DFA AUTHENTICATION.  
  NOTE: THE DATE OF RELEASE AT THE DFA THAT MUST BE INDICATED IN THE CLAIM STUB SHOULD BE EIGHT (8) WORKING DAYS FROM RECEIPT OF THE APPLICATION IN THE REGIONAL OFFICE  
- FORWARD THE CAV TO THE APPROVING OFFICER AS DEFINED UNDER SECTION III OF THIS PPG IN THE ORDER OF THEIR AUTHORITY.  
- RD, ARD and CAO:  
  * SIGN THE CAV.  
| FIVE (5) MINUTES | DFA CLAIM STUB |
|               | **REGIONAL RECORDS OFFICER:**  
- PREPARE THE MASTER LIST OF Duly APPROVED CAVs.  
  NOTE: ORIGINAL COPY - DFA; DUPLICATE COPY - REGIONAL OFFICE |
|               | WITHIN TWO (2) HOURS | CA Form 17 (CERTIFICATION OF ENGLISH AS MEDIUM OF INSTRUCTION) |
| STEP 5       | **REGIONAL RECORDS OFFICER:**  
- E-MAIL TO DFA  
  (ff.fetalco@oca.dfa.gov.ph or authentication@fe@gmail.com) THE MASTER LIST OF Duly APPROVED CAVs.  
- FILING AND STORAGE OF e-DOCUMENTS/PHOTOCOPY OF APPROVED CAV.  
- UPDATE REGISTER CAV REQUESTS.  
- DFA OFFICIAL COURIER:  
  * RECEIVE THE ORIGINAL COPY OF MASTER LIST AND CAVs FOR TRANSMITTAL TO DFA.  
  * CHECK COMPLETENESS OF THE DOCUMENTS AGAINST THE MASTER LIST.  
  * IF COMPLETE, SEAL THE ENVELOPE.  
  * IF INCOMPLETE, RECONCILE WITH THE RRO.  
| WITHIN THE DAY | MASTER LIST OF DOCUMENTS FOR DFA AUTHENTICATION |
|               | **REGIONAL RECORDS OFFICER:**  
- RECORD THE RELEASE AND MAINTAINS FILES OF CAV Dully ACKNOWLEDGE BY THE DFA OFFICIAL COURIER. |
| DAILY | |
|               | **REGIONAL RECORDS OFFICER:**  
- RECORD THE RELEASE AND MAINTAINS FILES OF CAV Dully ACKNOWLEDGE BY THE DFA OFFICIAL COURIER. |
| FIVE (5) MINUTES | |

END
D. ELEVATION AND RESOLUTION OF ISSUES, CONCERNS AND PROBLEMS

Any issues, concerns, or problems arising from the interpretation and / or implementation of any provisions in this PPG, and those that are not properly covered herein, shall be appropriately elevated to the Director of the Administrative Service, DepEd Central Office for proper disposition.

For this purpose, the elevation mechanism herein provided shall follow the proper level of authority and certification laid down in this PPG.

VI. MONITORING AND EVALUATION

Strict compliance of this policy by all units and personnel concerned shall be regularly monitored by the DepEd Central Office, thru its Project Manager - Records Division, Administrative Service. Proper coordination with the Department of Foreign Affairs shall also be undertaken by the said Office for the determination of the level of observance of the established protocols set forth herein, specifically in the areas of processing time effectiveness and for any incidence of fraudulent certifications.

Proper evaluation of the effectiveness and efficiency of this PPG in addressing all matters covered herein shall also be undertaken in order to further improve its service mechanisms as appropriately provided.

It is herein provided that appropriate trainings and seminars for all certifying officers identified in this PPG shall be conducted within two (2) months from the effectivity hereof. The Records Division – Administrative Service, DepEd Central Office shall extend the necessary proper guidance and support accordingly.

VII. REFERENCES

Republic Act No. 10533, s. 2013 – “An Act Enhancing the Philippine Basic Education System by Strengthening Its Curriculum and Increasing the Numbers of Years for Basic Education, Appropriating Funds Therefore and for Other Purposes”


Executive Order No. 252. s. 2000 – “Establishing the Inter-Agency Committee on Philippine Schools Overseas, Defining its Composition, Structure, and Functions”

Executive Order No. 582. s. 2006 – “Streamlining the Process of Authenticating Documents Intended for Use Abroad and Transferring the Authentication Office from the Office of the President to the Department of Foreign Affairs”

Vienna Convention of 1963 on consular relation

DepEd Order No. 61, s. 1975 – “Issuance of Special Order for Graduation”
DepEd Order No. 16, s. 2003 – “Issuance of Transferees from Philippine Schools Overseas”

DepEd Order No. 63, s. 2004 – “Revocation Fees for Certification, Authentication and Verification (CAV)”

DepEd Order No. 88, s. 2010 – “2010 Revised Manual of Regulations for Private Schools in Basic Education”

DepEd Order No. 54, s. 2016 – “Guidelines on the Request and Transfer of Learner’s School Records”

DepEd Order No. 55, s. 2016 – “Policy Guidelines on the National Assessment of Student Learning for the K to 12 Basic Education Program”

DepEd Order No. 72, s. 2016 – “Department of Education People’s Freedom of Information Manual and Implementing Details”

DepEd Memorandum No. 53, s. 2007 – “Creation of a task force on Certification/Authentication/Verification (CAV) of Elementary and Secondary Education Diploma and Official Transcript of Records”


DepEd Memorandum No. 62, s. 2016 – “Change in DepEd Memorandum No. 381, s. 2007 (Guidelines on the Issuance of Certification, Authentication and Verification (CAV) of Elementary and Secondary Education Diploma, Official Transcript of Records, and Other School Records)”

DepEd Memorandum No. 188, s. 2016 – “Modified Format of the Claim Stub for Department of Foreign Affairs Authentication”

DepEd Records Management Operations Manual

DepEd Vision and Mission

DepEd 10-Point Agenda by Secretary Leonor Magtolis – Briones
VIII. EFFECTIVITY

This PPG shall take effect immediately.
IX. FORMS

For the purpose of this Order, the following Forms shall be used in processing of CAV transactions:

**Annex A** - CAV Form 1 - Request Form (RF)

**Annex B** - CAV Form 2 - School Referral to Division Office

**Annex C** - CAV Form 3 - Indorsement for Correction of Entries in the Academic School Records (ASR)

**Annex D** - CAV Form 4 – Certification of Enrolment / Completion /Graduation

**Annex E** - CAV Form 5 –School Transmittal to the Regional Office

**Annex F** - CAV Form 6 – List of Approved CAV Request

**Annex G** - CAV Form 7 – Certification of Non-availability of Academic School Records in the Division Office

**Annex H** - CAV Form 8 - Return Indorsement of the Schools Division Office to the School

**Annex I** - CAV Form 9 – Certification of Discrepancy / ies

**Annex J** - CAV Form 10 – Request Form (RF) for ALS A&E and PEPT Ratings

**Annex K** - CAV Form 11 – Certification of Non-availability of the Rating (A&E and PEPT)

**Annex L** - CAV Form 12 – Certification of Rating (A&E / PEPT)

**Annex M** - CAV Form 13 – Division Office Transmittal to the Regional Office

**Annex N** - CAV Form 14 – List of Approved CAV Request

**Annex O** - CAV Form 15 - CAV Application Form

**Annex P** - CAV Form 16 – CAV

Claim Stub for DFA Authentication

Master List of Documents for DFA Authentication

**Annex Q** - CAV Form 17 - Certification of English as Medium of Instruction

All units and personnel concerned shall be provided with soft copy of the Forms herein prescribed for uniform use.
ANNEX A

CAV FORM 1 – REQUEST FORM – SCHOOL (RF)

Republic of the Philippines
Department of Education
Region ____________
Division ____________

Control No.: ____________
Date of Application: ____________
Date of Release: ____________

School Name: ____________________________
School ID: ____________________________

REQUEST FORM FOR ACADEMIC SCHOOL RECORDS

NAME OF LEARNER: ____________________________

DATE & PLACE OF BIRTH: ____________________________

SCHOOL YEAR LAST ATTENDED / GRADUATED: ____________

PRESENT ADDRESS: ____________________________

________________________________________

CONTACT NO.: ____________________________

PURPOSE: (Please check any of the following):

☐ EMPLOYMENT ABROAD  ☐ FIANCE VISA  ☐ STUDENT VISA
☐ SEAMAN'S BOOK/SRC  ☐ TOURIST VISA  ☐ DESCENDANT’S VISA
☐ MIGRATION ABROAD  ☐ REIMBURSEMENT OF EDUCATIONAL
ALLOWANCE/ TUITION FEES OF
CHILDREN OF OFWs

☐ SUCH OTHER PURPOSE AS MAYBE REQUIRED BY THE DFA

________________________________________

Signature Over Printed Name
(Applicant / Representative)
ANNEX B

CAV FORM 2 - SCHOOL REFERRAL TO DIVISION OFFICE

Republic of the Philippines
Department of Education
Region ____________
Division ____________
School Name ________

1st Indorsement
Date
Respectfully forwarded to the Schools Division Superintendent, Division of
_____________________, requesting for assistance in securing a certified true copy of
School Form 18 (now School Form 5) for reconstruction of Form 137 in relation to the herein
attached request of Name of Learner, who claims to be a graduate of / student in
Name of School during the School Year ______. The said record, despite diligent search, is
not available in this Office.

For the preferential appropriate action of the Schools Division Superintendent.

Signature Over Printed Name
(School Head/Principal)

Attached: as stated.
ANNEX C

CAV FORM 3 - INDOREMENT FOR CORRECTION OF ENTRIES IN THE ACADEMIC
SCHOOL RECORDS

Republic of the Philippines
Department of Education
Region___________
Division___________
School Name_______

1st Indorsement
Date

Respectfully forwarded to the Regional Director, DepEd Regional Office ____,
_________ (address) __________, (Attention: Attorney IV, Legal Unit) requesting for
assistance in the Correction of Entries in the Academic School Records of Name of
Learner, a graduate of / student in Name of School during the School Year ________.

For ready reference and perusal, attached are the following documents / records:

1. Certificate of Live Birth (issued by Philippine Statistics Authority);
2. Affidavit of Discrepancy;
3. Affidavit of two (2) Disinterested Persons;
4. Form-137; and
5. Diploma

For the preferential appropriate action of the Regional Director.

Signature Over Printed Name
(School Head/Principal)

Attached: as stated.
ANNEX D

CAV FORM 4 – CERTIFICATION OF ENROLMENT / COMPLETION / GRADUATION

Republic of the Philippines
Department of Education
Region ____________
Division ____________
School Name ____________

CERTIFICATION OF ENROLMENT / COMPLETION / GRADUATION

TO WHOM IT MAY CONCERN:

This is to certify that, based on available records in this school, the following information pertaining to Name of Learner with Learner Reference Number _______ appear:

( ) enrolled in Grade _____ during the School Year _____

( ) completed Grade _____ during the School Year _____

( ) satisfactorily graduated from Elementary / Secondary Course for the School Year _____ as prescribed by the Department of Education*.

This certification is issued on _______ upon the request of Name of Learner in connection with his / her application for Certification, Authentication and Verification.

Signature Over Printed Name
(School Head/Principal)

*If graduated from secondary course in private school, indicate Special Order Number and date.
ANNEX E

CAV FORM 5 – SCHOOL TRANSMITTAL TO THE REGIONAL OFFICE

Republic of the Philippines
Department of Education
Region______________
Division____________
School Name_______

1st Indorsement
Date

Respectfully forwarded to the Regional Director, DepEd Regional Office __________, ______ (address) __________, the herein request of Name of Learner for Certification, Authentication and Verification (CAV) of his / her Academic School Records.

For ready reference and perusal, attached are the following documents/records marked (✓) below properly enclosed in sealed envelope:

( ) Certification of Completion/Graduation
( ) Certification of English as Medium of Instruction
( ) Form -137
( ) Diploma

For the preferential appropriate action of the Regional Director.

Signature Over Printed Name
(School Head/Principal)

Attached: as stated.
# ANNEX F

## CAV FORM 6 - LIST OF APPROVED CAV REQUEST

Republic of the Philippines  
Department of Education  
Region  
Division  
School Name

<table>
<thead>
<tr>
<th>CONTROL NO.</th>
<th>NAME</th>
<th>DATE OF APPLICATION</th>
<th>DATE OF TRANSMITTAL</th>
</tr>
</thead>
<tbody>
<tr>
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xxxxx nothing follows xxxxx

Prepared by:

Signature Over Printed Name  
(School Records Custodian/Registrar)

Submitted by:

Signature Over Printed Name  
(School Head/Principal)
Republic of the Philippines
Department of Education
Region  
Division  

CERTIFICATION

TO WHOM IT MAY CONCERN:

This is to certify that after due verification, there is no available records on file in this Office of the requested Academic School Records (ASR) of Name of Learner.

Issued this _____ day of _____, 20__ for whatever legal purpose it may serve.

Signature Over Printed Name
(Division Certifying Officer)
Republic of the Philippines
Department of Education
Region________
Division________

2nd Indorsement
Date

Respectfully returned to Name of School Principal, Name of School, address of school, the attached School Form 18 (now School Form 5) in connection with the request for Academic School Records (ASR) of Name of Learner, a graduate of / student in that school.

For the preferential appropriate action of the School Principal.

Signature Over Printed Name
(Division Certifying Officer)

Attached: as stated.
ANNEX I

CAV FORM 9 – CERTIFICATION OF DISCREPANCY / IES

Republic of the Philippines
Department of Education
Region ___________
Division ___________

CERTIFICATION

TO WHOM IT MAY CONCERN:

This is to certify that, based on the duly verified School Form 18 (now School Form 5) on file in this Office, copy attached, the discrepancy / ies marked (✓) below has / have been noted in the Academic School Records (ASR) of Name of Learner, that school:

(✓) Name: __________________________________________
(✓) Date of Birth: _____________________________________
(✓) Place of Birth: _____________________________________
(✓) School Year of Attendance: __________________________

Issued this ____ day of _____, 20__ for whatever legal purpose it may serve.

Signature Over Printed Name
(Division Certifying Officer)

Attached: as stated.
ANNEX J

CAV FORM 10 – REQUEST FORM (RF) FOR ALS A&E AND PEPT RATINGS

Republic of the Philippines
Department of Education
Region _____________
Division _____________

Control No.: _____________
Date of Application: _____________
Date of Release: _____________

REQUEST FORM FOR RESULTS OF RATING ON:

( ) Alternative Learning Systems Accreditation & Equivalency Test

( ) Philippine Educational Placement Test

NAME OF LEARNER: _______________________________________
DATE OF BIRTH: ___________________________________________
PLACE OF BIRTH: __________________________________________
PRESENT ADDRESS: __________________________________________

CONTACT NO.: _____________________________________________
DATE OF EXAMINATION: ________________________________
PLACE OF EXAMINATION: ________________________________
PURPOSE: (Please check any of the following):

☐ EMPLOYMENT ABROAD    ☐ FIANCE VISA       ☐ STUDENT VISA
☐ SEAMAN'S BOOK/SRC      ☐ TOURIST VISA      ☐ DESCENDANT'S VISA
☐ MIGRATION ABROAD       ☐ REIMBURSEMENT OF EDUCATIONAL
                          ALLOWANCE/ TUITION FEES OF
                          CHILDREN OF OFWs
☐ SUCH OTHER PURPOSE AS MAYBE REQUIRED BY THE DFA

Signature Over Printed Name
(Applicant / Representative)
ANNEX K

CAV FORM 11 – CERTIFICATION OF NON-AVAILABILITY OF RATING
(A&E / PEPT)

Republic of the Philippines
Department of Education
Region ____________
Division ____________

CERTIFICATION

TO WHOM IT MAY CONCERN:

This is to certify that after due verification, there is no available records on file in this Office of the requested Results of Rating on ( ) Alternative Learning Systems Accreditation and Equivalency Test ( ) Philippine Educational Placement Test of Name of Learner.

Issued this _____ day of _____, 20__ for whatever legal purpose it may serve.

Signature Over Printed Name
(Division Records Officer)
ANNEX L

CAV FORM 12 – CERTIFICATION OF RATING (A&E / PEPT)

Republic of the Philippines
Department of Education
Region _____________
Division _____________

CERTIFICATION

TO WHOM IT MAY CONCERN:

This is to certify that, after due verification, the following information marked (√) below pertaining to Name of Learner, appear in the records of this Office:

( ) he / she passed the Alternative Learning Systems Accreditation and Equivalency (ALS A & E) Test for Elementary / Secondary Level administered on __________: and he / she has the competencies comparable to that of a Elementary / Secondary graduate in the formal school system and as such, is eligible to enter secondary / tertiary education.

( ) he / she took the Year Philippine Educational Placement Test (PEPT) given at ______________ with Examinee Number ________ which indicate that he / she has met the basic academic requirements of Year Level in the Elementary / Secondary Level: and he / she, therefore, is eligible for admission to Year Level subject to the satisfaction of other school admission requirements.

Issued this _____ day of _____, 20__ for whatever legal purpose it may serve.

Signature Over Printed Name
(Schools Division Superintendent)
ANNEX M

CAV FORM 13 - DIVISION OFFICE TRANSMITTAL TO THE REGIONAL OFFICE

Republic of the Philippines
Department of Education
Region ____________
Division ____________

1st Indorsement

Date

Respectfully forwarded to the Regional Director, DepEd Regional Office ____________, (address) ____________, the herein request of Name of Learner for Certification, Authentication and Verification (CAV) of Academic School Records.

For ready reference and perusal, attached are the following documents/records marked (√) below properly enclosed in sealed envelope:

( ) Certification of Results of Rating
  ( ) ALS A&E
  ( ) PEPT

( ) Diploma

( ) Form 137

( ) Others: ________________________________________________________________

For the preferential appropriate action of the Regional Director.

Signature Over Printed Name
(Schools Division Superintendent)

Attached: as stated.
ANNEX N

CAV FORM 14 - LIST OF APPROVED CAV REQUEST

Republic of the Philippines
Department of Education
Region ____________
Division __________
School Name ________

<table>
<thead>
<tr>
<th>CONTROL NO.</th>
<th>NAME</th>
<th>DATE OF APPLICATION</th>
<th>DATE OF TRANSMITTAL</th>
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xxx nothing follows xxx

Submitted by:

Signature Over Printed Name
(Schools Division Superintendent)
### ANNEX O

## CAV FORM 15 - CAV APPLICATION FORM

Republic of the Philippines  
Department of Education  
Region

Control No.:  
Date of Application:

### CAV APPLICATION FORM

**NAME OF LEARNER:**  

**DATE OF BIRTH:**  

**PLACE OF BIRTH:**  

**PRESENT ADDRESS:**  

**CONTACT NO.:**  

**NAME OF SCHOOL:**  

**ADDRESS OF SCHOOL:**  

**PURPOSE:** (Please check any of the following):

- [ ] EMPLOYMENT ABROAD  
- [ ] FIANCE VISA  
- [ ] STUDENT VISA  
- [ ] SEAMAN'S BOOK / SRC  
- [ ] TOURIST VISA  
- [ ] DESCENDANT'S VISA  
- [ ] MIGRATION ABROAD  
- [ ] REIMBURSEMENT OF EDUCATIONAL ALLOWANCE/ TUITION FEES OF CHILDREN OF OFWs  
- [ ] SUCH OTHER PURPOSE AS MAYBE REQUIRED BY THE DFA

---

**Signature Over Printed Name**  
(Applicant / Representative)

---

**(TO BE FILLED-UP BY THE REGIONAL OFFICE)**

<table>
<thead>
<tr>
<th>Requirements</th>
<th>Graduates Public</th>
<th>Private</th>
<th>Undergraduates Public</th>
<th>Private</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Student Permanent Record (Form 137)</td>
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<td>2. Diploma</td>
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<td>3. Certificate of Completion / Graduation</td>
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<td>4. Special Order</td>
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<td>5. Certificate of Accreditation (for PAASCU Accredited Schools)</td>
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<td>6. Transmittal</td>
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<td>7. ALS A&amp;E / PEPT</td>
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<tr>
<td>a. Certification from BEA</td>
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<td>b. Rating</td>
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<td>c. Certification from Division Office</td>
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<td>8. Passport Size Picture (2 pcs.)*</td>
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<td>9. Documentary Stamp</td>
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*If the applicant is not the learner himself / herself
CERTIFICATION, AUTHENTICATION AND VERIFICATION

DATE: ________
CAV No.: ________
Series of 20 ______

TO WHOM IT MAY CONCERN:

This is to certify that, based on duly verified available records on file, the following information pertaining to Name of Learner appear, to wit:

( ) he / she completed the academic requirements of the Department of Education for graduation from the course ________________ in the School Year ______ in Name of School, as evidenced by herein attached marked (✓) below:

( ) Student Permanent Record (Form 137)
( ) Diploma
( ) Certification of Special Order

( ) he / she completed the academic requirements of the Department of Education for Grade ____ / Year Level ____ of the Elementary / Secondary course ________________ in the School Year ______ in Name of School, as evidenced by herein attached Student Permanent Record (Form 137).

( ) he / she passed the Alternative Learning Systems Accreditation and Equivalency (ALS A & E) Test for Elementary / Secondary Level administered on ________: and he / she has the competencies comparable to that of a Elementary / Secondary graduate in the formal school system and as such, is eligible to enter secondary / tertiary education as evidenced by herein attached Certification of Rating and Diploma.

( ) he / she took the Year Philippine Educational Placement Test (PEPT) given at ______________ with Examinee Number ______ which indicate that he / she has met the basic academic requirements of Year Level in the Elementary / Secondary Level: and he / she, therefore, is eligible for admission to Year Level subject to the satisfaction of other school admission requirements as evidenced by herein attached Certificate of Rating.

This Office further certifies the veracity and authenticity of the attached records herewith; and issued in connection with the application of Name of Applicant for ______ purposes.

Signature Over Printed Name
(Regional Director)

Not valid without official seal, with erasure or alteration
CAV FORM 17 – CERTIFICATION OF ENGLISH AS A MEDIUM OF INSTRUCTION

Republic of the Philippines
Department of Education
Region ____________
Division ____________
School Name ____________

CERTIFICATION

TO WHOM IT MAY CONCERN:

This is to certify that Name of Learner with Learner Reference Number ____________ has satisfactorily completed / graduated from the Elementary / Secondary Course as prescribed by the Department of Education, with the following particulars:

1. Name of School: _______________________________________________
2. School address: _______________________________________________
3. Grade level completed: ____________: School Year completed: ____________
4. Graduated on: ____________: School Year graduated: ____________
5. Special Order Number*: ____________________: Date: ____________________

This is to further certify that English Language was used as the medium of instruction in all subjects taught in the above-mentioned school, except for subjects that require the use of Filipino language only.

This certification is issued on ____________ upon the request of Name of Learner in connection with his / her application for Certification, Authentication and Verification.

Signature Over Printed Name
(School Head/Principal)

*If graduated from secondary course in private school, indicate Special Order Number and date.